

Catherine J. Cooper, CFA, CFP®

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5220 Sand Lake Court
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Experience

Azure Financial Advisors, Sarasota, FL & Highland Park, IL **September 2008 – present**
Principal

- Provide integrated, fee-only financial planning and asset management to individuals.
- Philosophy is risk-adjusted performance optimization through asset allocation, use of diversified low-cost investment options, and consideration of tax issues and existing low-basis positions.

Harris Trust & Savings Bank, Chicago, IL **June 1997 – June 2008**
Vice President, Senior Research Analyst

- Actively covered stocks in the Consumer Staples and Consumer Discretionary sectors.
- Advised Personal Trust portfolio managers on holdings in client accounts.
- Directed analyst-run model portfolio.

Concord Investment Company, Chicago, IL **August 1994 – June 1997**
Director of Research

- Member of three-person Investment Policy Committee, which made all investment decisions.
- Assets at Concord more than doubled over the two years from 1994 to 1996.

Kemper Financial Services, Chicago, IL **June 1983 - July 1994**
Vice President, Senior Investment Analyst

- Performed rigorous fundamental analysis/stock selection on a variety of industries.
- Understudied portfolio managers at one of the top mutual fund firms in the country.

Duff and Phelps, Inc., Chicago, IL **August 1978 - May 1983**
Investment Analyst

- Undertook in-depth equity and fixed income analysis.

Education

Northwestern University, Kellogg Graduate School of Business, Evanston, IL, 1981
MBA in Finance and Accounting

Northwestern University, Evanston, IL, 1978
BA in Economics Three-Year BA program

Deerfield High School, Deerfield, IL, 1975
National Merit Scholar Finalist

Professional Designations

- Earned **CFA (Chartered Financial Analyst)** designation in 1985.
- Earned **CFP® (Certified Financial Planner™)** designation in 2008.
- Passed **Series 65 Exam** given by FINRA in 2008, score 91%.

Activities

- **The New Sarasotans Club - Treasurer**
- **Ravinia Women's Board**, Highland Park, IL
- **The Oaks Club**, Osprey, FL
- **St. Boniface Church**, Siesta Key, FL
- **St. Augustine's Church**, Wilmette, IL
- **Kappa Kappa Gamma Sorority**